FORM NO. 3BB

[*See* rule 6DDA]

Monthly statement to be furnished by a stock exchange in respect of transactions in which client codes been modified after registering in the system for the month of……

1. Name and address of the Stock Exchange: …….

2. Permanent Account Number: …….

3. Details of transaction in which client codes have been modified after registering in system are enclosed in soft copy as annexure.

Verification

I ……. hereby certify that all the particulars furnished above are correct and complete.	
Place……	Signature of the Principal Officer of the Stock Exchange……
Date……	Name and Designation …….….

ANNEXURE TO FORM NO. 3BB

(Soft Copy)

Derivative Market

SI. No.	Transaction ID	Broker's name & ID	Original Client code	Modified Client Code	Name of the original client	PAN of the original client	Name of the modified client	PAN of the modified client	Scrip name	Quantity	Rate	Total value of transaction	Buy or Sale	Date of transaction
1.														
2.														
3.														
4.														
5.														

Cash Market

Transaction ID	Broker's name & ID	Original Client code	Modified Client Code	Name of the original client	PAN of the original client	Name of the modified client	PAN of the modified client	Scrip name	Quantity	Rate	Total value of transaction	Buy or Sale	Date of transaction